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MESSAGE

Commissioner for Patents

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Examiner:

Thomas A. DIXON

(571) 273-8300

Jeff N. Maggioncalda et al.

Art Unit:

3639

TELEPHONE:

FAX:

Serial No.:

09/904,707

Confirmation No.:

4572

Filed:

July 12, 2001

Atty. Docket No.:

59036-249737

TO:

USER INTERFACE FOR A FINANCIAL ADVISORY SYSTEM THAT ALLOWS AND END USER TO

INTERACTIVELY EXPLORE TRADEOFFS AMONG INPUT DECISIONS

### CERTIFICATE OF TRANSMISSION UNDER 37 CFR 1.8

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING FACSIMILE TRANSMITTED TO THE UNITED STATES PATENT AND TRADEMARK September 6, 2005.

OFFICE VIA FACSIMILE NO (571) 273-8300, ON:

Claudia Mendoza

Arrached Correspondence:

1. Amendment & Response (25 pages).

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**DECISIONS** 

Examiner:

Thomas A. Dixon

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I HEREBY CERTIFY THAT this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage via first-class mail in an envelope addressed to: Mail Stop Amendment Commissione, for Patents, P.O. Box 1450, Alexandna, VA 22313-1450 on: September 6, 2005.

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Claudia Mendi Za

Mail Stop AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# AMENDMENT AND RESPONSE TO OFFICE ACTION

Dear Sir:

In response to the Office Action mailed June 7, 2005, the Applicant respectfully requests the Examiner to enter the following amendment and to consider the following remark:

Amendment to the Claims is reflected in the listing of claims which begins on page 2 of this paper.

Remarks begin on page 21 of this paper.

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